



IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of

P. Srirama Rao et al.

Application No.: 10/796,580

Filing Date: March 8, 2004

Title: METHOD AND APPARATUS FOR EXTERNAL HEART STABILIZATION

Group Art Unit: 3762

Examiner:

Confirmation No.: 1103

SECOND
INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed is a Second Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge _____ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of _____ is enclosed for the fee due.
- ☐ Charge _____ to credit card. Form PTO-2038 is attached.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Suite 400
402 W. Broadway
San Diego, CA 92101-3542
(619) 446-5600

By

Joseph R. Baker, Jr.

Registration No. 40,900

Date: July 8, 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: July 8, 2005

Kim A. Cabello
Typed Name:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)

P. Srirama Rao et al.)

Application No.: 10/796,580)

Filed: March 8, 2004)

For: METHOD AND APPARATUS FOR
EXTERNAL HEART STABILIZATION)

Group Art Unit: 3762

Examiner:

Confirmation No.: 1103

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail on July 8, 2005, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: _____

Kim A. Cabello

SECOND INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed. However, copies of the listed U.S. patents and U.S. patent application publications are not enclosed since it is no longer required according to the July 11, 2003 waiver of the requirement for copies of cited U.S. patents and U.S. patent application publications in national patent applications filed after June 30, 2003 and international applications entering the national stage under 35 U.S.C. § 371 after June 30, 2003.

The documents are being submitted within three (3) months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later. Since these documents are being filed within the time period set forth in 37 C.F.R. § 1.97(b), no fee or statement is required.

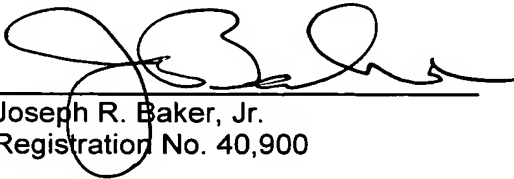
To assist the Examiner, the document is / documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

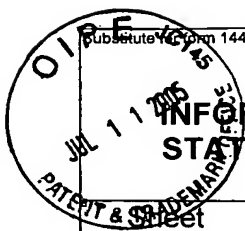
BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date July 8, 2005

By: _____


Joseph R. Baker, Jr.
Registration No. 40,900

Suite 400
402 W. Broadway
San Diego, CA 92101-3542
(619) 446-5600



Substitute Form 1449A/PTO & 1449B/PTO

SECOND INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet **1** of **4**

Complete if Known

Application Number	10/796,580
Filing Date	March 8, 2004
First Named Inventor	P. Srirama Rao et al.
Examiner Name	
Attorney Docket Number	034108-008

U.S. PATENT DOCUMENTS

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	6,881,185		Vanden Hock et al.	April 19, 2005
	6,876,887		Okuzumi et al.	April 5, 2005
	6,852,076		Nikolic et al.	February 8, 2005
	6,808,488		Mortier et al.	October 26, 2004
	6,793,618		Schweich Jr. et al.	September 21, 2004
	6,776,754		Wilk	August 18, 2004
	6,764,510		Vidlund et al.	July 20, 2004
	6,755,779		Vanden Hoek et al.	June 29, 2004
	6,755,777		Schweich Jr. et al.	June 29, 2004
	6,746,471		Mortier et al.	June 8, 2004
	6,730,016		Cox et al.	May 4, 2004
	6,723,041		Lau et al.	April 20, 2004
	6,723,038		Schroeder et al.	April 20, 2004
	6,709,382		Horner	March 23, 2004
	6,702,732		Lau et al.	March 9, 2004
	6,701,929		Hussein	March 9, 2004
	6,695,769		French et al.	February 24, 2004
	6,695,768		Levine et al.	February 24, 2004
	6,689,048		Vanden Hoek et al.	February 10, 2004
	6,685,627		Jayaraman	February 3, 2004
	6,682,476		Alferness et al.	January 27, 2004
	6,682,475		Cox et al.	January 27, 2004
	6,682,474		Lau et al.	January 27, 2004
	6,663,558		Lau et al.	December 16, 2003
	6,645,139		Haindl	November 11, 2003
	6,629,921		Schweich Jr. et al.	October 7, 2003
	6,622,730		Ekvall et al.	September 23, 2003
	6,616,684		Vidlund et al.	September 9, 2003
	6,616,596		Milbocker	September 9, 2003
	6,612,979		Lau et al.	September 2, 2003
	6,612,978		Lau et al.	September 2, 2003
	6,602,184		Lau et al.	August 5, 2003
	6,595,912		Lau et al.	July 22, 2003
	6,592,514		Kolata et al.	July 15, 2003
	6,589,160		Schweich Jr. et al.	July 8, 2003
	6,587,734		Okuzumi et al.	July 1, 2003
	6,579,226		Vanden Hoek et al.	June 17, 2003
	6,572,533		Shapland et al.	June 3, 2003
	6,569,082		Chin	May 27, 2003
	6,564,094		Alferness et al.	May 13, 2003
	6,558,319		Aboul-Hosn et al.	May 6, 2003
	6,547,716		Milbocker	April 15, 2003
	6,544,168		Alferness	April 8, 2003

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

SECOND INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 4

Complete if Known

Application Number	10/796,580
Filing Date	March 8, 2004
First Named Inventor	P. Srirama Rao et al.
Examiner Name	
Attorney Docket Number	034108-008

U.S. PATENT DOCUMENTS

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	6,537,198		Vidlund et al.	March 25, 2003
	6,520,904		Melvin	February 18, 2003
	6,514,194		Schweich Jr. et al.	February 4, 2003
	6,494,825		Talpade	December 17, 2002
	6,488,618		Paolitto et al.	December 3, 2002
	6,482,146		Alferness et al.	November 19, 2002
	6,425,856		Shapland et al.	July 30, 2002
	6,416,459		Haindl	July 9, 2002
	6,409,760		Melvin	June 25, 2002
	6,406,420		McCarthy et al.	June 18, 2002
	6,402,680		Mortier et al.	June 11, 2002
	6,402,679		Mortier et al.	June 11, 2002
	6,375,608		Alferness	April 23, 2002
	6,332,893		Mortier et al.	December 25, 2001
	6,332,864		Schweich Jr. et al.	December 25, 2001
	6,332,863		Schweich Jr. et al.	December 25, 2001
	6,293,906		Vanden Hoek et al.	September 25, 2001
	6,264,602		Mortier et al.	July 24, 2001
	6,261,222		Schweich Jr. et al.	July 17, 2001
	6,260,552		Mortier et al.	July 17, 2001
	6,241,654		Alferness	June 5, 2001
	6,221,103		Melvin	April 24, 2001
	6,190,408		Melvin	February 20, 2001
	6,183,411		Mortier et al.	February 6, 2001
	6,165,122		Alferness	December 26, 2000
	6,165,121		Alferness	December 26, 2000
	6,165,120		Schweich Jr. et al.	December 26, 2000
	6,165,119		Schweich Jr. et al.	December 26, 2000
	6,162,168		Schweich Jr. et al.	December 19, 2000
	6,077,214		Mortier et al.	June 20, 2000
	6,059,715		Schweich Jr. et al.	May 9, 2000
	6,050,936		Schweich Jr. et al.	April 18, 2000
	6,045,497		Schweich Jr. et al.	April 4, 2000
	5,961,440		Schweich Jr. et al.	October 5, 1999
	5,702,343		Alferness	December 30, 1997
	20050090707		Lau et al.	April 28, 2005
	20050085688		Girard et al.	April 21, 2005
	20050075723		Schroeder et al.	April 7, 2005
	20050065396		Mortier et al.	June 24, 2005
	20050059854		Hoek et al.	March 27, 2005
	20050054892		Lau et al.	March 10, 2005
	20050020874		Lau et al.	January 27, 2005
	20050014992		Lau et al.	January 20, 2005

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

SECOND INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3 of 4

Complete if Known

Application Number	10/796,580
Filing Date	March 8, 2004
First Named Inventor	P. Srirama Rao et al.
Examiner Name	
Attorney Docket Number	034108-008

U.S. PATENT DOCUMENTS

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	20050010079		Bertolero et al.	January 13, 2005
	20050004428		Cox et al.	January 6, 2005
	20040267083		McCarthy et al.	December 30, 2004
	20040249242		Lau et al.	December 9, 2004
	20040243229		Vidlund et al.	December 2, 2004
	20040225304		Vidlund et al.	November 11, 2004
	20040210104		Lau et al.	October 21, 2004
	20040186342		Vanden Hock et al.	September 23, 2004
	20040181124		Alferness	September 16, 2004
	20040167374		Schweich Jr. et al.	August 26, 2004
	20040147805		Lau et al.	July 29, 2004
	20040133063		McCarthy et al.	July 8, 2004
	20040133062		Pai et al.	July 8, 2004
	20040127983		Mortier et al.	July 1, 2004
	20040002626		Feld et al.	January 1, 2004
	20030181928		Vidlund et al.	September 25, 2003
	20030171641		Schweich Jr. et al.	September 11, 2003
	20030166992		Schweich Jr. et al.	September 4, 2003
	20030130731		Vidlund et al.	July 10, 2003
	20030065248		Lau et al.	April 3, 2003
	20030050529		Vidlund et al.	March 13, 2003
	20030032979		Mortier et al.	February 13, 2003
	20020173694		Mortier et al.	November 21, 2002
	20020169360		Taylor et al.	November 14, 2002
	20020169359		McCarthy et al.	November 14, 2002
	20020169358		Mortier et al.	November 14, 2002
	20020147406		Von Segesser	October 10, 2002
	20020077524		Schweich Jr. et al.	June 20, 2002
	20020068850		Vanden Hoek et al.	June 6, 2002
	20020068849		Schweich Jr. et al.	June 6, 2002
	20020058855		Schweich Jr. et al.	May 16, 2002
	20020029080		Mortier et al.	March 7, 2002
	20010025171		Mortier et al.	September 27, 2002
	20010016675		Mortier et al.	August 23, 2001

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

SECOND INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet **4** of **4****Complete if Known**

Application Number	10/796,580
Filing Date	March 8, 2004
First Named Inventor	P. Srirama Rao et al.
Examiner Name	
Attorney Docket Number	034108-008

FOREIGN PATENT DOCUMENTS

Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	STATUS						
					Translation	Partial Translation	Eng. Lang. Summary	Search Report	IPER	Abstract	Cited in Spec
	WO 98/14136		WIPO	April 9, 1998							
	WO 00/28918		WIPO	May 25, 2000							
	WO 00/28912		WIPO	May 25, 2000							
	WO 01/03608		WIPO	January 18, 2001							
	WO 01/095830		WIPO	December 20, 2001							
	WO 02/013726		WIPO	February 21, 2002							

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.